

# **ISLE OF ANGLESEY COUNTY COUNCIL**

<b>COMMITTEE:</b>	<b>AUDIT COMMITTEE</b>
<b>DATE:</b>	<b>23 JULY 2013</b>
<b>TITLE OF REPORT:</b>	<b>INFORMATION MANAGEMENT AND DATA SECURITY UPDATE</b>
<b>PURPOSE OF REPORT:</b>	<b>FOR INFORMATION</b>
<b>REPORT BY:</b>	<b>AUDIT MANAGER</b>
<b>ACTION:</b>	<b>For noting</b>

## **1. INTRODUCTION**

- 1.1** The Committee has been kept informed of progress made in addressing significant control weaknesses identified in five regulatory reports in the area of Information Management, Information Governance and data security. The WAO reported on Information Management arrangements at the Council in June 2012 and PWC published a report on Information Governance arrangements at the Council in May 2011. Internal Audit issued reports on Modern Records Management, Data Security and Business Continuity in 2012.
- 1.2** In The Annual Improvement Report relating to the IOACC published this year the WAO stated that the 'Council's arrangements for the management of its information asset limit the Council's ability to improve.'

## **2. BACKGROUND**

- 2.1** As a number of the findings and recommendations from these reports are of a similar nature, management consider that the reports are best addressed as a whole rather than individually. Therefore a review of the action plans from all five reports was undertaken to consolidate actions into the main areas where improvement is required.
- 2.2** In September 2012 the Deputy Chief Executive set up a Project Group to lead on the implementation of the recommendations made in the five regulatory reports headed by the then Interim Head of Resources (Gill Lewis).

## **3. CURRENT DEVELOPMENTS**

- 3.1** Following breaches of the Data Protection Act 1998 by the Council formal Undertakings were made by the Council under this Act with the Information Commissioner's Office in January 2011 and December 2012. As a result the Council agreed to the ICO carrying out a consensual audit of the Council's arrangements for the processing of personal data.

**3.2** The Information Commissioner's auditors undertook field work in relation to a consensual audit of the Council's processing of personal data during the first week of July 2013. Their report is expected to re-iterate and possibly add to the recommendations made in the previous regulatory reports.

**3.3** In May 2013 an Information Governance meeting was held and in the light of the Information Commissioner's then forthcoming audit it was agreed that a project on information governance would be initiated covering the areas of:

- Information Management (ICT Audit and security; Records Management and Information security policy training);
- Review of arrangements for confidential waste;
- Review of access to HQ building; and
- Schools handling of information.

This project will include progressing the action plan that is expected from the Information Commissioner's consensual audit.

John Fidoe  
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